



## Effect of structural heterogeneity on serrated flow behavior of Zr-based metallic glass

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### ABSTRACT

Physical correlation between structural heterogeneity and plastic flow of metallic glasses (MGs) is crucial for the understanding of MGs' deformation mechanism. In this work, different degrees of structural heterogeneity are introduced into Zr-based MGs through different cooling rates casting. The effect of structural heterogeneity on serrated flow behavior was studied. The findings demonstrate that there exists a tendency that serration flow dynamics of the MGs transforms from a chaotic state to a self-organized critical state with increased inhomogeneity. The established correlation between structural heterogeneity and serrated flow behavior shows that the higher degree of structural heterogeneity facilitates a higher frequency of interaction and multiplication of shear bands by increasing nucleation sites, and then promotes serrated flow behavior to be more homogeneous in time and space, thereby induces transformation of dynamics behavior and improves the plasticity. The obtained results shed light on deformation mechanism of plastic flow and provide a new insight into the plasticity of MGs.

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### 1. Introduction

As a common phenomenon in plastic deformation process, serrated flow behavior can be widely seen in many materials [1], such as crystalline materials [2,3] and amorphous alloys [4,5]. In the crystalline materials, the serrated flow behavior, called Portevin-Le Chatelier (PLC) effect [2], is regarded as resulting from the repeating dislocations pinning by solute atoms and breaking free under increasing stress [6,7]. In the metallic glasses (MGs), the physical origin of serrated flow behavior is supposed to be different from that of crystalline material, because the MG does not possess long-range order structure thereby is absence of the traditional defects like dislocations and grain boundaries [8–10]. Elucidating the underlying physical mechanism of the serrated flow behavior has been a

matter of fundamental concern for amorphous materials in the past decades [4,8,11–15]. By statistical method, it was found that serration dynamics in some MGs followed a chaotic pattern characterized as a Gaussian distribution of serration sizes [16], whilst they displayed a power-law distributed self-organized critical (SOC) state when increased the sample height-to-diameter ratio [17] or introduced in some composite crystalline phases [18]. Furthermore, the dynamics process of serrated flow behavior is revealed to be inhomogeneous in time and space [15,19]. The inhomogeneity is mediated by various external conditions of material, such as sample aspect ratio [20], testing temperature [19,21], strain rate used [22,23] and machine frame stiffness [24]. Up to now, however, effect mechanism of internal structure on serration flow behavior in MG is not yet fully understood.

Reminiscent of the role of dislocations in crystalline materials, search for similar “defects” in MGs has been attempted in past decades [25,26]. Recently, extensive studies have suggested that MGs are intrinsically structurally heterogeneous with local configurations varying from site to site [25,27–30], manifesting some nanoscale “liquid-like” regions (i.e. flow units) with loosely packed

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atoms embedded in solid like substrate with densely packed atoms [31–33]. Both simulations and experiments have revealed that relaxation [34], yield [35,36], glass transition [32] and crystallization [37] of MGs were closely related to the structural heterogeneity or “flow unit”. Although plastic deformability of MG was also reported to may be controlled by the structural heterogeneity like that of the dislocations to crystalline material [31,33,38], the physical correlation between intrinsic atomic-scale structural heterogeneity and the macroscopic spatiotemporal inhomogeneous dynamics of serrated plastic flow behavior is still unclear.

As such, in this study, different degrees of heterogeneous structure in Zr-based MGs were obtained under different cooling rates for investigating the interrelationship between structural heterogeneity and serrated flow behavior. It is found that dynamics state transforms from a chaotic state to a SOC state with increasing the structural inhomogeneity; higher degree of structural heterogeneity facilitates the serrated behavior in plastic flow and makes plastic deformation more homogeneous in time and space. Our results show that the spatially structural heterogeneity may through regulating interaction behavior of shear bands, in turn to mediate the spatiotemporal dynamics of serrated flow behavior, thereby to control the plasticity of MGs.

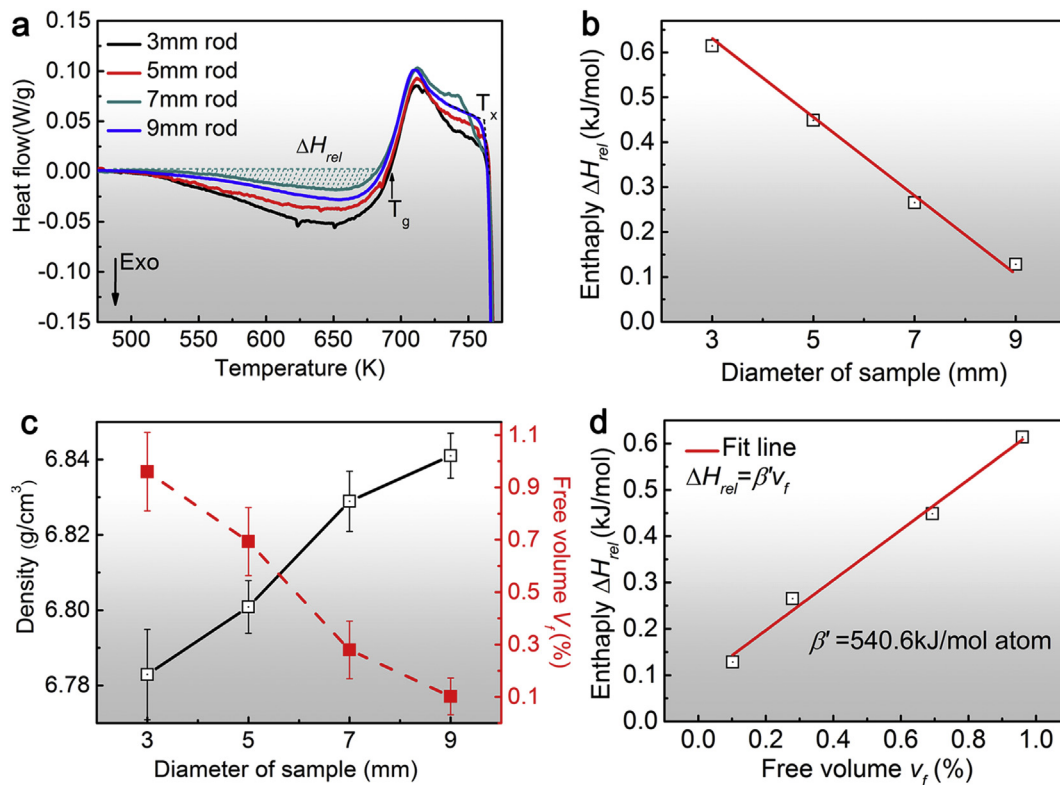
## 2. Experimental

Zr<sub>55</sub>Cu<sub>30</sub>Ni<sub>5</sub>Al<sub>10</sub> MGs with ultrahigh glass forming ability were prepared by arc melting the mixture of elemental metals with purity above 99.9% in argon atmosphere and then casting in a water-cooled copper mold. Several bulk MG samples with 3 mm (Sample A), 5 mm (Sample B), 7 mm (Sample C) and 9 mm (Sample D) respectively in diameter were fabricated directly in one time by

using a stair shape mold, in order to eliminate uncertain influence of other experimental factors, such as melting temperature and smelting operation process, on the structural heterogeneity and serrated flow behavior of the MG studied. The enthalpies of MGs were measured by differential scanning calorimetry (DSC) under a purified argon atmosphere in a Parkin-Elmer DSC8000 with a heat rate of 10 K/min. The densities  $\rho$  were measured by Archimedeian technique, and the weights of MG samples were greater than 1 g to ensure higher accuracy (within 0.1%). The free volume were obtained by using the equation [39]:  $v_f = (\rho_0 - \rho) / \rho$ , where  $\rho$  is as-casted sample,  $\rho_0$  is the density of fully relaxed MG and it is 6.848 g/cm<sup>3</sup> for the present MG annealed at 633 K (~0.9T<sub>g</sub>) for 48 h. The nanoscale local properties including hardness and modulus were characterized by nanoindentation on a Hysitron Ti950 system with a Berkovich-type indenter. More than 100 indentations for each sample were programed to penetrate with the same load, viz., 3000  $\mu$ N, and the spacing between adjacent indentations was 10  $\mu$ m. Compressive samples with the same geometrical shape (3 mm in diameter and 6 mm in length) were fabricated from the as-cast samples. The uniaxial-compressive tests were conducted in a MTS testing system at a strain rate of  $5 \times 10^{-4}$  s<sup>-1</sup>. The morphologies of shear bands in fractured specimen surfaces were observed by a scanning electron microscope (SEM) (QUANTA FEG 430, FEI).

## 3. Results and discussions

Before studying the serrated flow behavior, intrinsic structures of the as-casted MGs with different diameters were investigated. Fig. 1a displays the DSC curves of Zr<sub>55</sub>Cu<sub>30</sub>Ni<sub>5</sub>Al<sub>10</sub> MGs from the samples with different diameters. Exothermic structural relaxation



**Fig. 1.** (a) DSC of Zr<sub>55</sub>Cu<sub>30</sub>Ni<sub>5</sub>Al<sub>10</sub> MGs with different diameters, (b) Correlation between diameters of samples and relaxation enthalpies determined from shaded areas of DSC curves in (a), (c) Variation in densities and corresponding free volumes as a function of diameters of samples, (d) Relationship of free volume variation and relaxation enthalpy change.

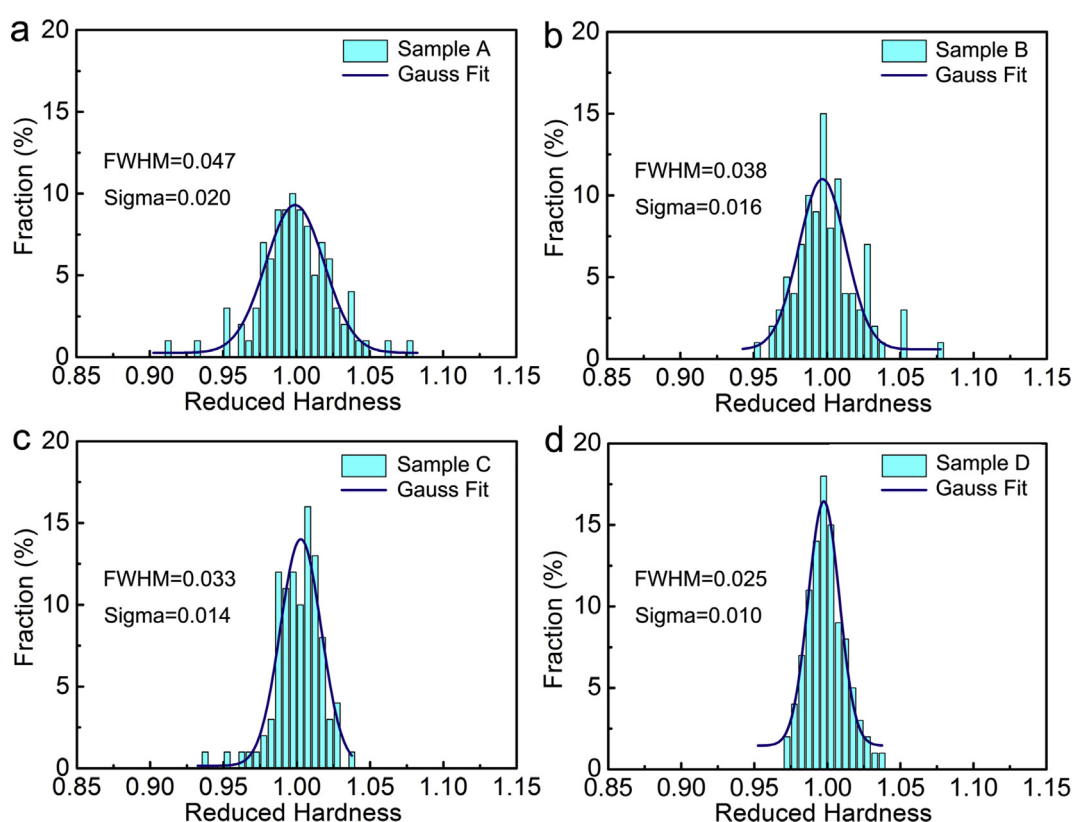
takes place in all samples when the MGs are heated towards  $T_g$  (Fig. 1a). The relaxation enthalpies  $\Delta H_{rel}$  determined by the shaded areas increase from 0.13 kJ/mol to 0.61 kJ/mol with decreasing the sample diameter from 9 mm to 3 mm (Table 1), indicating that enthalpies increase with increase of cooling rates [40,41]. Previous studies have verified that increasing relaxation enthalpy in MGs results from the increasing free volume [42–46]. The present DSC result indicates that more free volume has been introduced in MGs by a higher cooling rate. To further confirm this structure change, the free volume is quantitatively measured by monitoring density variation. Fig. 1c displays the obtained densities and corresponding free volumes as a function of the sample diameter. It is found that the relative free volume fraction with decreasing cooling rate or increasing diameter is 0.96, 0.69, 0.28 and 0.10%, which agrees well with the trend of variation in the relaxation enthalpy (Fig. 1b). The relaxation enthalpy change vs. free volume variation is plotted in Fig. 1d. Interestingly, they display a good linear relationship with a slope ( $\beta'$ ) of about 540.6 kJ/mol·atom, which is quite close to value of  $552 \pm 15$  kJ/mol·atom as measured from the

Zr<sub>55</sub>Cu<sub>30</sub>Ni<sub>5</sub>Al<sub>10</sub> MGs pre-annealed at different temperatures [43].

For further studying the distribution of free volumes in different MG samples, nanoindentation is employed to characterize the local hardness and Young's modulus of the MGs fabricated under different cooling rates. The values of hardness and Young's modulus which were obtained by fitting the nanoindentation curves are displayed in Table 1. An increasing average nano-hardness and Young's modulus with increasing sample diameter are observed, which further confirm that a looser structure was frozen in MGs with higher cooling rate [47–49]. The reduced hardness  $h_{ri}$  is determined as  $h_{ri} = h_i/H$ , ( $H = (1/n)\sum_i h_i$ ,  $h_i$  is  $i$ th nano-hardness value) to compare the nano-hardness distributions among the four MGs. The distributions of the reduced nano-hardness are displayed in Fig. 2 and fitted by Gaussian function. The fitting parameter  $R$  is 0.92, 0.88, 0.87 and 0.94 for samples A, B, C and D, respectively. It indicates that all the data are well fitted. It is clearly showed that the MG fabricated under higher cooling rate has a wider distribution of hardness than its counterparts with less (Fig. 2), manifesting as the FWHM of fitted line decreases from

**Table 1**  
Relaxation enthalpy ( $H_{rel}$ ), density ( $\rho$ ), Free volume ( $v_f$ ), Plastic strains ( $\epsilon_p$ ), Yield stresses ( $\sigma_y$ ), nanoindentation hardness ( $H$ ), nanoindentation Young's modulus ( $E$ ) of the Zr<sub>55</sub>Cu<sub>30</sub>Ni<sub>5</sub>Al<sub>10</sub> MGs.

Sample	$H_{rel}$ (kJ/mol)	$\rho$ (g/cm <sup>3</sup> )	$v_f$ (%)	$\sigma_y$ (MPa)	$\epsilon_p$ (%)	$H$ (GPa)	$E$ (GPa)
A	0.61	6.783 ± 0.012	0.96 ± 0.15	1642 ± 25	11.56 ± 2.1	4.98 ± 0.41	99.2 ± 3.9
B	0.46	6.801 ± 0.007	0.69 ± 0.13	1666 ± 35	3.26 ± 1.1	5.04 ± 0.31	100.5 ± 3.4
C	0.27	6.829 ± 0.008	0.28 ± 0.11	1742 ± 26	0.98 ± 0.3	5.18 ± 0.31	103.7 ± 3.4
D	0.13	6.841 ± 0.006	0.10 ± 0.07	1745 ± 30	0 ± 0.1	5.20 ± 0.20	103.8 ± 3.2



**Fig. 2.** The distributions of the reduced nano-hardness for: (a) Sample A, (b) Sample B, (c) Sample A and (d) Sample D. FWHM is the full width at half maximum of a Gauss curve, Sigma is standard deviation in Gaussian function.

0.047 to 0.025, and standard deviation decreases from 0.020 to 0.010 with increased in sample diameters. Wang et al. [25] pointed out that the regions with more free volume possessed “liquid-like” property such as low hardness and modulus, namely “flow unit”. The larger nano-hardness suggests that there locally exist the more free volumes at where the indentation experiment is conducted. The wider distributions of nano-hardness in present MGs indicates that higher degree of structural heterogeneity or more flow units has been introduced in present MG by higher cooling rate, which has been confirmed in previous researches [32,50,51].

Afterwards, compressive tests of  $Zr_{55}Cu_{30}Ni_5Al_{10}$  MGs with different degree of structural heterogeneity were conducted to study the effect of internal structure on serrated flow behavior. The results show that there exists a brittle-to-ductile transition for the MGs with increasing the structure inhomogeneity (Fig. 3a). The samples with higher degree of structural heterogeneity display a larger plasticity. The corresponding plastic strain for the sample A, B and C and D is 11.56, 3.26, 0.98% and 0.0% respectively (Fig. 3a and Table 1). Sample D with the least structural inhomogeneity displays a brittle fracture behavior; little plastic strain is observed on its stress-strain curve (Fig. 3a). As such, only the samples A, B and C are further used in the following study of the correlation between mechanical properties and structure inhomogeneity. The detailed

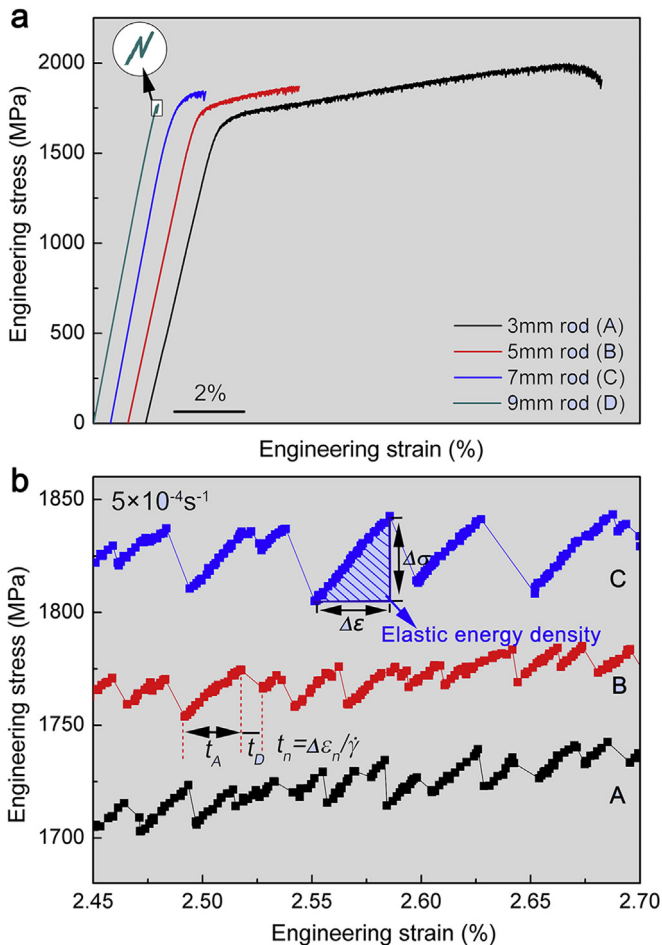
serration behaviors are investigated by magnifying the stress-strain curves. All the investigated samples after yielding at about 1.73% elastic strain exhibit an intermittent flow, displaying as repeated cycles of stress loading followed by stress drops (Fig. 3b). It is found that the average serration sizes of MGs decrease with increasing inhomogeneity of spatial structure as shown in Fig. 3b. As the strain rate used in compression testing was the same for all samples in this work, the smaller serration size indicates that the higher degree of structural heterogeneity in MGs facilitates serrated flow behavior to be more homogeneous in time.

Since the stress drop is proportional to serration size, the magnitude of stress drops ( $\Delta\sigma_D$ ) were extracted from stress-strain curves and these data were statistically analyzed to study the serration flow dynamics behavior with variation in structural inhomogeneity [52]. Serrations with amplitude less than 1 MPa, which most result from machine noise, were not taken into account in the statistical analyses [13]. The statistic serration sizes for samples with decreasing structural heterogeneity are shown in Fig. 4a–c. As can be seen, many small serrations are evident in MG sample A; by contrast, fewer small serrations are observed for sample in B and C. Interestingly, the maximum stress drop magnitude are located at very narrow window of about 47.1 MPa–52.5 MPa (Fig. 4a–c), which are quite close to the predicted maximum stress drop value (about 55 MPa) by the model proposed by Qiao et al. [53], indicating that the maximum stress drop magnitude is insensitivity to internal structure. Furthermore, it can be found that the serration sizes generally increase linearly with increasing the strain until fracture (Fig. 4a–c). The increasing rate of serration size ( $\Delta\sigma_{max}/\Delta\epsilon$ ) varies with the degree of structural heterogeneity and it is determined to be 3.2 MPa/%, 8.1 MPa/%, and 16.2 MPa/% for sample A, B and C, respectively. Previous study has shown that increasing rate of serration size ( $\Delta\sigma_{max}/\Delta\epsilon$ ) varied with compositions [52]. For example, the Fe-based MG always displays a large  $\Delta\sigma_{max}/\Delta\epsilon$  of 40 MPa/%, while the Pa-based MG displays much smaller rate of 13 MPa/% [52]. Nevertheless, the present obtained results indicate that variation of  $\Delta\sigma_{max}/\Delta\epsilon$  may intrinsically arise from the structural variation.

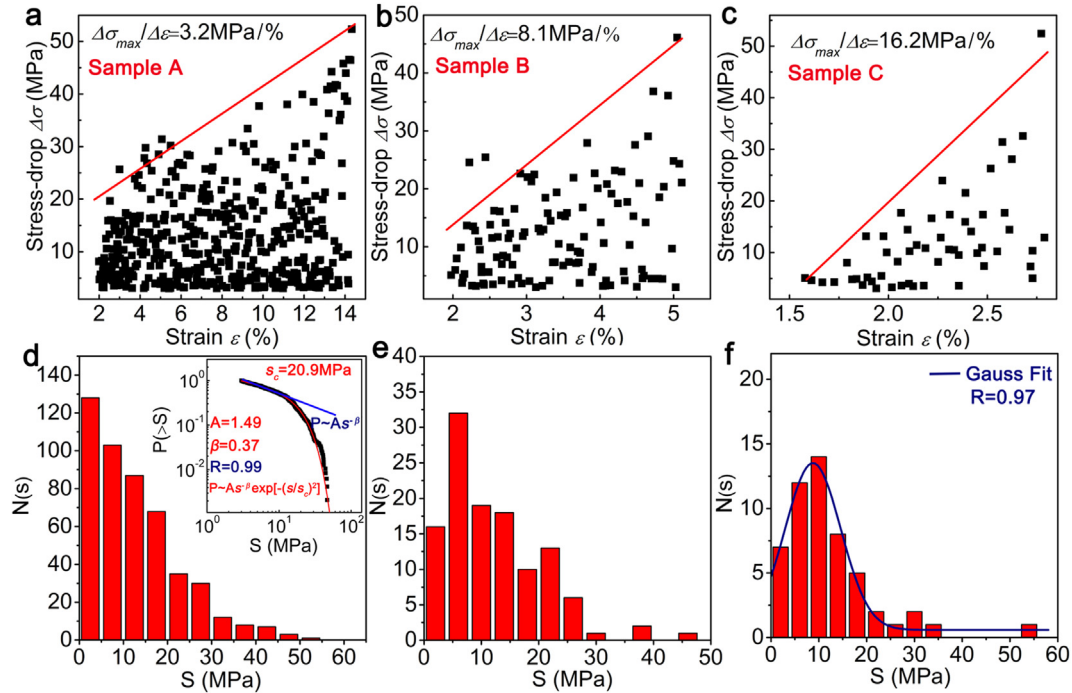
To further reveal the underlying deformation mechanism, the distribution of the number of serrations at different serration sizes for the samples A–C is shown in Fig. 4d–f, respectively. The distribution of stress drops displays a monotonically decreasing trend for the sample A with the highest degree of structural heterogeneity (Fig. 4d). A cumulative probability distribution, i.e. the percentage of the number of serration events with the stress drops being larger than a certain value,  $P(>s)$ , is calculated and plotted in Fig. 4d inset. As can be seen,  $P(>s)$  is well fitted by a power-law distribution with a squared exponential decay function [13,54]:

$$P(>s) = As^{-\beta} \exp\left[-(s/s_c)^2\right] \quad (1)$$

where  $A$  is a normalized constant of 1.49 herein,  $\beta$  is the scaling exponent with a fitting value of 0.37 and  $s_c$  is the cut-off stress drop magnitude fitted by 20.9 MPa. The fitted equation (1) indicates that the distribution of the stress drops is essentially a power-law relation up to stress drops at 20.9 MPa, after which the exponential decay factor plays a dominant role. Usually, the power-law relation is an indicator of the self-organized critical (SOC) state in serration dynamics of MGs [54]. Interestingly, for sample C with the relative less structural heterogeneity, most the stress drop values fall in the range of 8–15 MPa and the distribution histogram displays a peak shape. The distribution can be well fitted by the Gaussian function (Fig. 4f). In general, Gaussian-like distribution is the typical feature of a chaotic dynamic state of serrated flow behavior, which has been reported in some crystalline materials



**Fig. 3.** Stress response of  $Zr_{55}Cu_{30}Ni_5Al_{10}$  MGs: (a) compressive engineering stress-strain curves of MGs with different degree of structural heterogeneity, (b) serrated flow behavior of samples A, B, and C, respectively. The shaded area is elastic energy density for one serration event.  $\Delta\epsilon_E$  and  $\Delta\sigma_E$  are the elastic stress and elastic strain in one serration, respectively;  $\Delta\sigma_D$  is stress drop;  $t_A$  and  $t_D$  is the stress ascending time and the stress drop time, respectively.



**Fig. 4.** A statistic results of stress drop in  $Zr_{55}Cu_{30}Ni_5Al_{10}$  MGs with decrease in degree of structural heterogeneity: (a) sample A, (b) sample B, (c) sample C, the increasing rate of serration size ( $\Delta\sigma_{max}/\Delta\varepsilon$ ) is the slope of the red line. Distribution of the overall serration number at different serration sizes in the: (d) sample A, (e) sample B, (f) sample C. The inset in (d) is cumulative distribution of serration size. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

[55], MG matrix composite [18] and MGs with large sample height-diameter ratio [17]. The serrations dynamic behavior transforms from a SOC state into a chaotic state with the decrease in structural heterogeneity, corresponding to the change in deformation mechanism from ductile to brittle behavior, indicating that inhomogeneity of internal structure plays a critical role in the dynamic behavior of plastic deformation of the MGs. The detail mechanism is studied and will be discussed in the following.

It is known that individual serration event consists of stress ascending and drop, corresponding accumulation and release of elastic energy (Fig. 3b) [56]. The storage elastic energy densities of serration events for the MGs are calculated and displayed in Fig. 5a (only the data from sample A is shown here). Similar to the previous report [13], a rising trend of storage elastic energy densities can be observed with increasing strain (Fig. 5a). The maximum elastic energy density of the  $Zr_{55}Cu_{30}Ni_5Al_{10}$  MGs is determined to be 7230, 9150 and 9843  $J/m^3$  (thus the corresponding energy is about  $3.19 \times 10^{-4}$ ,  $4.04 \times 10^{-4}$  and  $4.35 \times 10^{-4}$  J respectively) for sample A, B and C, respectively. The average elastic energy density displays an increasing trend with decreasing the degree of structural heterogeneity is 1681, 2318 and 3214  $J/m^3$ , respectively (Fig. 5b).

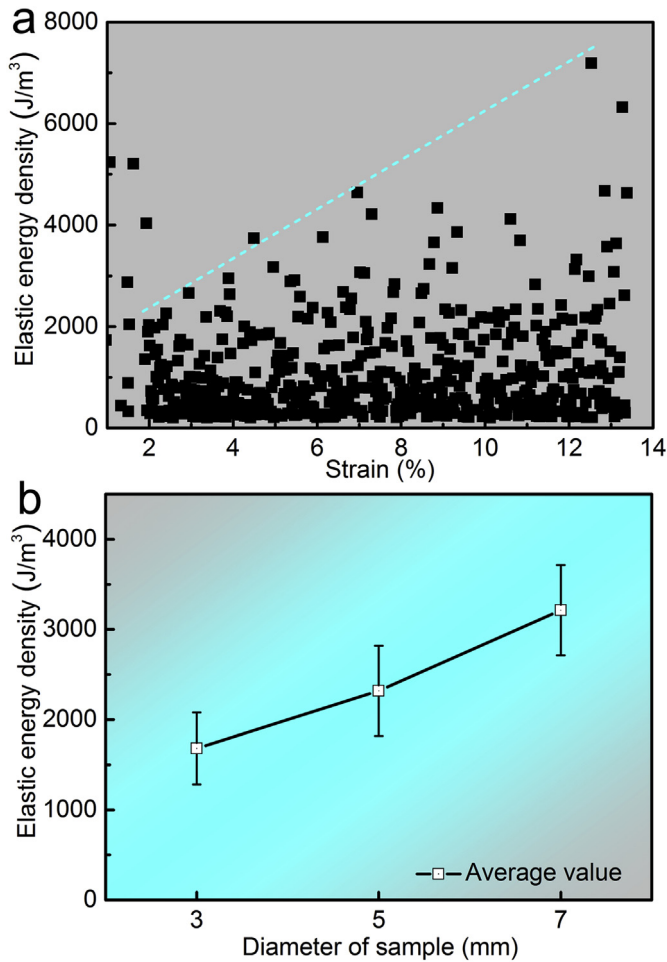
According to shear transformation model of MGs, the formation of shear band could be considered as configurationally hopping of deformation units (i.e. flow unit herein) [13,25]. The formation energy of a shear band  $E_s$  can be estimated by equation:  $E_s = N \times W$ , where  $N$  is the number of activated deformation unit in shear layer and  $W$  is the activation energy of the individual deformation unit. It was reported that the activation energy for the deformation unit hopping follows the equation [57]:  $W = (8/\pi^2)\xi G\gamma_C\Omega$ , where  $\xi \approx 3$  is a correction factor arising from the matrix confinement of a “dressed” deformation zone,  $\gamma_C \approx 0.027$  is the yield strain limit,  $G$  is shear modulus and the values of  $G$  is 31 GPa measured by torsional

test [58], and the effective transformation volume,  $\Omega$ , is 4.1  $nm^3$  according to the results measured by a dynamic mechanical analyses on various Zr-based MGs [57]. As such, the activation barrier for one deformation unit is estimated to be  $8.3 \times 10^{-18}$  J. As shear band slips along shear plane with the inclined angle of about  $45^\circ$ , the shear plan area ( $A$ ) is approximately  $9.9 \times 10^{-6}$   $m^2$ , and the thickness of shear band is assumed to be approximately 20 nm [59], hence, the volume of one shear band fully crossing the shear plane of the MGs is determined to be about  $1.98 \times 10^{14}$   $nm^3$ , thus the number of deformation units in shear layer is  $4.83 \times 10^{13}$ . According to the above-mentioned model [13,25], therefore, theoretically it should take energy of about  $4.01 \times 10^{-4}$  J to induce a fully crossed shear band. It is interesting to found that the value is approximately equal to that of maximum elastic energy of serrations near fracture strain ( $3.19 \times 10^{-4}$ – $4.35 \times 10^{-4}$  J). The result indicates that the maximum elastic energy of serrations is mainly used to activate a shear band that generated at near fracture strain. In addition, the average elastic energy density decrease for sample C, B and A (Fig. 5b), indicating higher degree of structural heterogeneity promotes the shear bands to be activated easier.

It is showed that the accumulated serration elastic energy would be released, when it ascended to a large enough value which can activate a shear band, thereby lead to once slipping of shear band in a localized deformation layer, accompanying with a stress drop (Fig. 6a). Song et al. [60] pointed out that shear band viscosity (i.e. viscosity of localized deformation layer) of MG during stress release could be calculated using the following equation:

$$\eta = \frac{(P/A)\cos\theta\sin\theta}{(\Delta L/\cos\theta)/t_p d} \quad (2)$$

where  $P$  is the load on the sample,  $A$  is the cross-section area of the



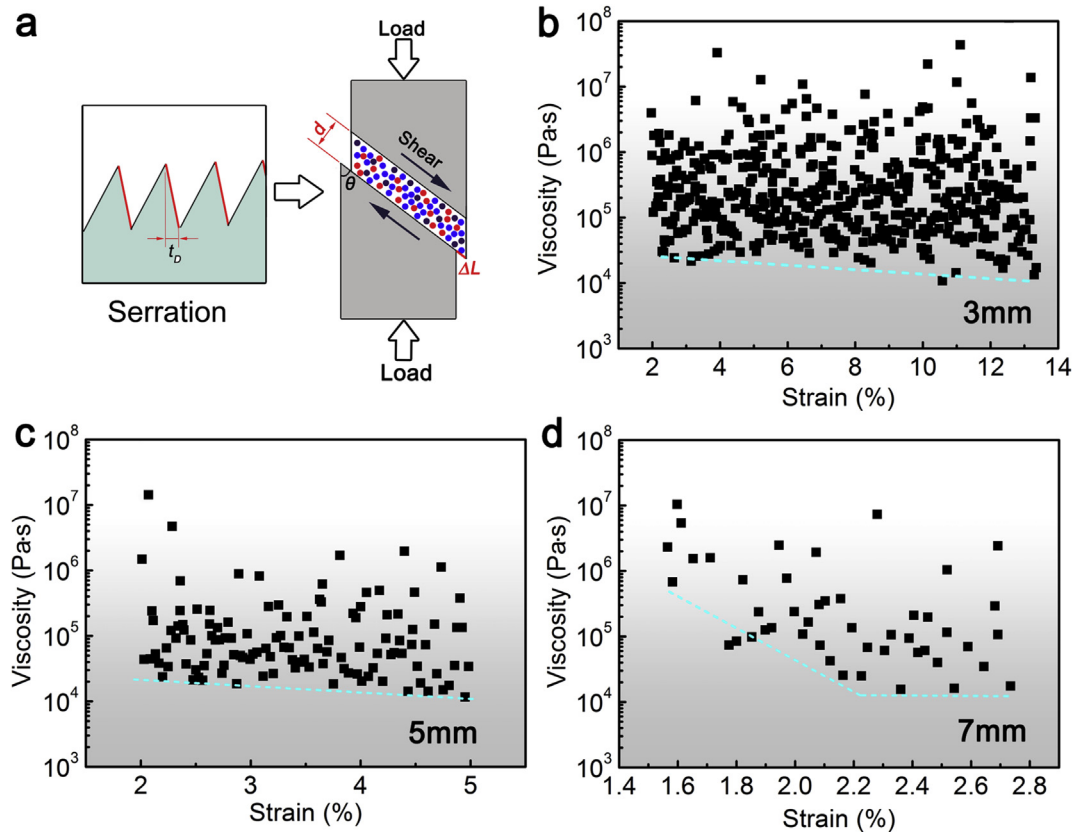
**Fig. 5.** (a) Elastic energy density distribution along the strains for the sample A, the dashed line represents the tendency of elastic energy density; The dotted line denotes the boundary of elastic energy density distribution; (b) Average elastic energy density of sample with decrease in degree of structural heterogeneity or increasing sample diameter.

sample,  $\theta$  is the shear angle, and  $\Delta L$  and  $t_D$  are size of the displacement burst and elapsed time of displacement, respectively, and  $d$  is the thickness of shear band. Based on equation (2), the calculated shear band viscosities for the different spatially structural MGs are shown in Fig. 6b–d. It found that the viscosities display a decreasing tendency with increasing strain, while the decline rate for sample C is far faster than that for sample A (Fig. 6b–d). This variation tendency is the same with that of the increasing rate of serration size ( $\Delta\sigma_{max}/\Delta\varepsilon$ ) to the internal structural change. Furthermore, it is showed that most of the shear band viscosities for present samples are smaller than  $1.0 \times 10^7$  Pa s, which are in a very similar range as the viscosity value commonly measured from  $Zr_{64.13}Cu_{15.75}Ni_{10.12}Al_{10}$  MG deformed at strain rates of  $2 \times 10^{-4} s^{-1}$  [60]. Regardless of the degree of structural heterogeneity, it is interesting to find that all MGs at fracture strain display the same minimum viscosity of  $1.1 \times 10^4$  Pa s, which is equal to that for the  $Zr_{64.13}Cu_{15.75}Ni_{10.12}Al_{10}$  MG [60] and is very close to the minimum value of  $2 \times 10^4$  Pa s for the Vitreloy 1 MG [60,61]. It indicates that the minimum viscosity is similar to that of maximum stress drop magnitude, is independent of the internal structure [53]; the maximum stress drop value and the minimum viscosity

possible could be a criterion of fracture for MGs [64]. The measured viscosities of shear bands ( $1.1 \times 10^4$ – $3.9 \times 10^7$  Pa s) in this work are several orders in magnitude less than the viscosity of MG at glass transition temperature ( $10^{12}$  Pa s), indicating that glass transition has already occurred in the shear layers [65].

With regard to the amorphous alloys, generally it was considered as homogeneous solid, i.e. the excess volume is evenly distributed in the material. However, extensive studies [25] have suggested that metallic glass are intrinsically structural heterogeneous, i.e. existing heterogeneity, manifesting there are some nanoscale “liquid-like” regions (i.e. flow units) with loosely packed atoms embedded in solid like substrate with densely packed atoms [26–28]. On the basis of the above findings and our previous study results [35,36], a possible relationship between structural heterogeneity and formation mechanism of shear band is established to elaborate structural effects on serrated flow behavior [66]. As illustrated in Fig. 7a–c, forming a shear band or a serration event would undergo several stages: Before loading, the flow units are frozen in as-casted MGs, causing an inhomogeneous structure [25]. As the pressure is gradually loaded, the sample would firstly step into elastic deformation stage with increasing energy of system. Because of structural heterogeneity, the distribution of the energy and stress in system becomes inhomogeneous [62]. Stress concentration is induced due to modulus difference between the flow units and matrix [13], which makes a higher local stress than the applied stress [63]. Afterwards, the atomic local motions in flow unit are activated in priority, causing a time-dependent reversible anelastic deformation of MGs (Fig. 7a) [34,50]. With further increase of pressure, the liquid-like cores would gradually begin to configurationally hop or grow by agglomeration and penetration of flow units (Fig. 7b) [35]. This stage corresponds to a linear accumulation of energy in a serration of the stress-strain curve (Fig. 3b). Up to a critical point of the energy storage, i.e. the highest stress values in a serration, penetrating flow units would form a supercooled liquid layer (Fig. 7c), displaying as a low viscosity of  $10^4$ – $10^6$  Pa s which is lower than that of MGs at their glass transition temperature (Fig. 6). Once the viscous layer is formed (Fig. 7c), the entire structure in the shear plane becomes immediately instability, thus the soft liquid-like layer proceeds viscously by sliding along the shear plane, with elastic energy dissipation under shear strain rate ranging from  $10^3$ – $10^8 s^{-1}$  (Fig. 6), accompanied by a stress drop in serration pattern. After serration elastic energy has been dissipated, the internal structure of the liquid-like layer begins to recover and reconstruct the solid-like matrix [35], thus the shear band is fully arrested. Further increasing load, the sample would store additional energy again to induce another serration or shear band. In the end, the plastic strain reaches a critical value, where the shear band loses its ability to recover and cannot sustain more plastic deformation, resulting in catastrophic fracture along the shear plane.

Upper part result reveals theoretically it takes energy of about  $4.01 \times 10^{-4}$  J to induce a fully crossed shear band, but experimentally the most storage elastic energies of the serration events are less than the theoretical value (Fig. 5a). Besides, it is found that the serration size increases gradually with strain (Figs. 4a–c and 5a), and the increasing rate ( $\Delta\sigma_{max}/\Delta\varepsilon$ ) rises sharply with decreasing degree of structural heterogeneity (Fig. 4a–c). These can be explained as per the above discussions. Flow units played the role as nucleation sites for shear band during plastic deformation. The serration elastic-energy storage in the reloading stage is mainly used to overcome energy barrier for penetration or configurationally hopping of flow units to form a viscous shear layer. Actually, the

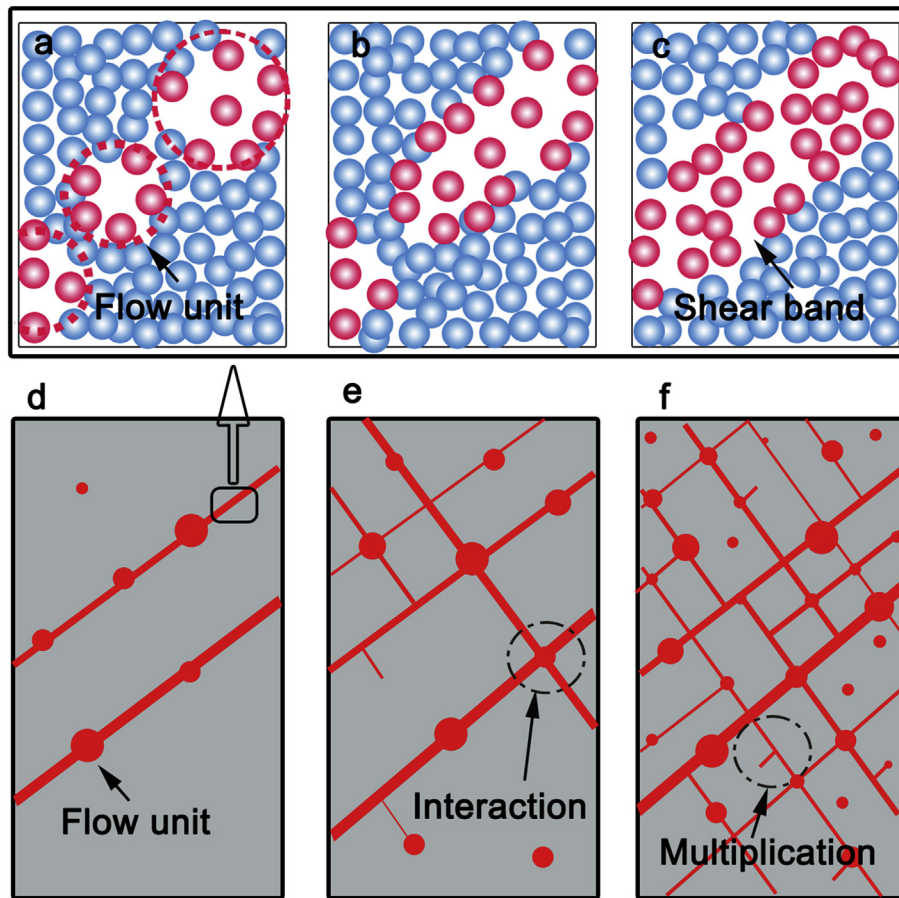


**Fig. 6.** (a) Schematic diagram of serration behavior and local shear behavior, shear band viscosity obtained from every serration events for: (b) sample A, (c) sample B, and (d) sample C with decreasing degree of structural heterogeneity. The dotted lines denote the boundary of shear band viscosity distribution.

formation energy of a shear band is not a constant. On the one hand, there exist lots of partial shear events during serrated flow process. These partial shear bands, which cannot fully shear across the cross-section of the specimen, are need less energy to be activated [13], which have been experimentally observed in previous works [13,66,67]; On the other hand, effective transformation volume  $\Omega$  or size of flow units in shear region is a changed value, i.e. energy barrier for configurationally hopping of flow units is not a constant, evidenced by the distribution of nano-hardness in present study (Fig. 2). Experimentally, Jiao et al. [68] pointed out that distribution of energy barriers of flow units is close to a Gaussian distribution. During plastic deformation process, therefore, shear band would be initiated primarily at the flow unit sites with smaller energy barriers, and leads to small stress-drop after the energy dissipation. After exhaustion of the fragile flow sites, higher activation energy is needed to activate tough flow units to form new shear band manifesting as larger serration event. Therefore, increase in serration size with strain is observed during the serration flow process (Fig. 4a–c). With increasing degree of structural heterogeneity, more free volumes are frozen in a MG, thus the distribution of the flow units is even or wider according to the nano-indentation tests (Fig. 2). Therefore, the activation energy barrier difference between two flow units decreases. Consequently, the initiation of a new shear bands becomes easier and initiation frequency becomes larger, manifested as more homogeneous plastic deformation in time (Fig. 3b). As a result, the increasing rate of serration size ( $\Delta\sigma_{max}/\Delta\epsilon$ ) with increasing degree of structural heterogeneity turns into smaller (as shown in Fig. 4a–c).

Serration dynamics changes from SOC state to chaotic state was observed in the MGs with decreasing degree of structural

heterogeneity (Fig. 4d–f), along with a deformation mechanism transition from ductile to brittle. In physics, SOC state is a property of dynamical systems, in which their macroscopic behavior displays the spatial and/or temporal scale-invariance characteristic [2,54]. As the self-organized critical system can tune effectively itself as it evolves towards criticality, system with SOC behavior can buffer larger disturbance by dissipating external effect through cooperative motion of connected participants. In contrast, chaotic state is dynamical systems that are highly sensitive to initial conditions, in which any small perturbation would set off a cascading pattern, which would make the system deviate from its original trajectory, thus inclined to generate accidental events. As above discussed, during plastic deformation, it is certain that the activation of shear band become more and more difficult with growing strain due to Gaussian-distributed energy barriers of flow units [68], while the moving direction of next shear band is random. If the propagation direction of newly formed shear band is different from the former one, they would generate shear band intersection (Fig. 7d) thereby induce secondary or multiple shear bands, causing one or a succession of small serrations. With increasing degree of structural heterogeneity, the nucleation sites of shear band increases gradually. Therefore, the interaction and multiplication of shear bands would become more distinct (Fig. 7d–f), thus more small serrations would be observed. It was reported that the interaction-induced small serration events would push the system to a SOC state [13], which can stand up to more accidents thus delay fracture of the MGs. Conversely, if there is a small amount of nucleation sites of shear band in MG, deformation would occur along a primary shear plane with fewer intersections (Fig. 7d). As such, only a few small-sized serrations could be observed (Fig. 4c). External disturbance



**Fig. 7.** (a–c) Schematic of structural heterogeneity and formation of shear band under pressure, (d–f) Morphologies of shear bands with increase in degree of structural heterogeneity.

effect cannot be dissipated through cooperated motion of connected participants, i.e. shear bands. The system dynamics changes to a chaotic state, resulting in unexpected brittle fracture.

To verify this hypothesis, SEM photographs of surfaces for samples with different serrated flow dynamics state are shown in Fig. 8. For the sample C with chaotic dynamic state, the shear bands distribute paralleled on the surface of sample, and few secondary shear bands and interaction of shear bands can be observed (Fig. 8a). With increasing degree of structural heterogeneity, serrated flow dynamics of sample A transforms into a self-organized critical state. It is found that the density of shear bands is far higher than that of sample with less flow units; profuse of secondary shear band and interaction of shear bands are obviously presented in Fig. 8b. In view of the shear-banding operations, the more amount and smaller sized shear bands are observed in the MGs with more flow units (Fig. 8b), indicating that higher degree of spatially structural heterogeneity can facilitate the plastic deformation to be more homogeneous in space [19]. With regard to shear band dynamics, the morphology of shear bands confirms that the enhanced plasticity of MGs is a consequence of the serration dynamics behavior changes from chaotic to SOC state induced by increasing interaction and multiplication of shear bands. Based on the above understanding, it can be concluded that serrated flow behavior in MG is a structural heterogeneity-mediated process. The spatially structural heterogeneity is mainly through regulating the number and energy distribution of flow units in MGs to affect the activation, propagation, and interaction behavior of shear bands, in turn to mediate the spatiotemporal dynamics of serrated flow

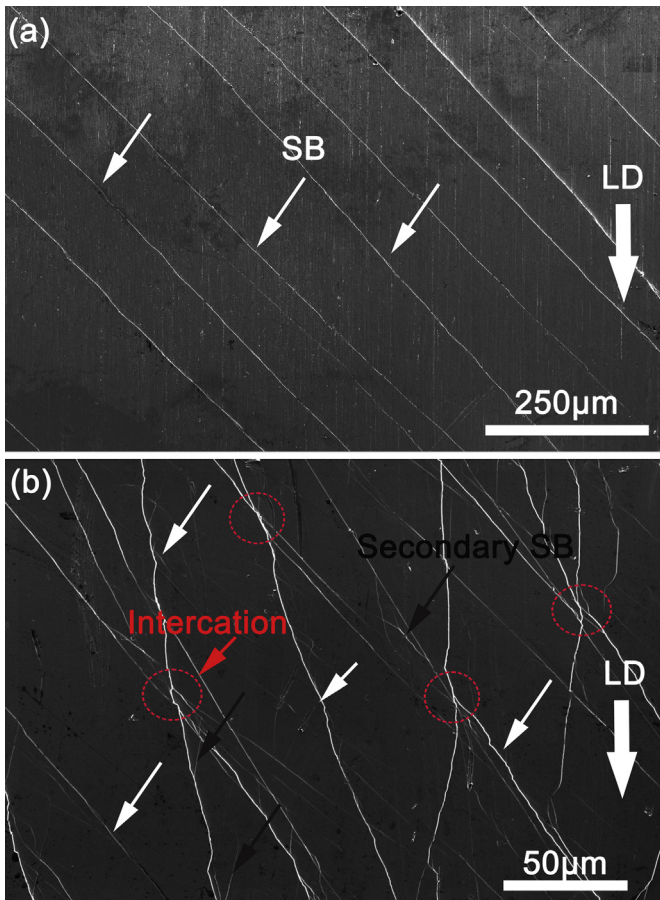
behavior, thereby to predetermine the plasticity of MGs. The obtained results might shed light on mechanism of plastic deformation and have some implications for develop new strategies to improve the plasticity of MGs.

#### 4. Conclusions

In summary, Zr-based metallic glasses (MGs) with different degree of structural heterogeneities were fabricated under different cooling rates. The correlation between structural heterogeneity and serrated flow behavior were investigated. Based on our study the following conclusions can be drawn:

1. The relaxation enthalpy and frozen free volume of MGs increase with the fabrication cooling rate. It displays a good linear relationship with slope ( $\beta'$ ) of about 540.6 kJ/mol·atom between the enthalpy and free volume. The MGs fabricated at higher cooling rate have a wider distribution of hardness than its counterparts with less.
2. The serration sizes display an increasing trend with rising strain for all samples, and the increasing rate of serration size ( $\Delta\sigma_{max}/\Delta\varepsilon$ ) increases with decrease in structural heterogeneity. The  $\Delta\sigma_{max}/\Delta\varepsilon$  reflecting the likelihood of shear bands nucleation is determined by energy barrier distribution of flow units.
3. With increasing degree of structural heterogeneity, plastic deformation becomes more homogeneous in time and space. Statistical analyses reveal that serrated flow dynamics of MGs transforms from a chaotic state featured by Gaussian-





**Fig. 8.** SEM photographs of side surfaces for samples with different degree of structural heterogeneity: (a) Sample C. (b) Sample A. The black arrows indicate secondary shear band (SB), the red arrows are interactions of shear bands, “LD” denotes the loading direction. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

distribution of serrations into a self-organized critical state characterized by power-law distribution, corresponding to change of the randomly generated and uncorrelated shear bands to a collective motion of shear bands with a high tendency of forming shear band intersections.

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