





# Experimental analysis for the dynamic initiation mechanism of debris flows


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**Abstract:** Debris flow is one of the major secondary mountain hazards following the earthquake. This study explores the dynamic initiation mechanism of debris flows based on the strength reduction of soils through static and dynamic triaxial tests. A series of static and dynamic triaxial tests were conducted on samples in the lab. The samples were prepared according to different grain size distribution, degree of saturation and earthquake magnitudes. The relations of dynamic shear strength, degree of saturation, and number of cycles are summarized through analyzing experimental results. The findings show that the gravelly soil with a wide and continuous gradation has a critical degree of saturation of approximately 87%, above which debris flows will be triggered by rainfall, while the debris flow will be triggered at a critical degree of saturation of about 73% under the effect of rainfall and earthquake ( $M > 6.5$ ). Debris flow initiation is developed in the humidification process, and the earthquake provides energy for triggering debris flows. Debris flows are more likely to be triggered at the relatively low

saturation under dynamic loading than under static loading. The resistance of debris flow triggering relies more on internal friction angle than soil cohesion under the effect of rainfall and earthquake. The conclusions provide an experimental analysis method for dynamic initiation mechanism of debris flows.

**Keywords:** Mountain hazard; Debris flows; Initiation mechanism; Humidification process; Rainfall; Earthquake; Triaxial test

## Introduction

Debris flows initiated by earthquake and rainfall may cause catastrophic damage. Debris flows areas are often located in earthquake zone (USGS 2011). The geographical distribution of debris flows source areas and earthquake zones indicates earthquake leads to the strength reduction of gravelly soil and accelerate the debris flows triggering. The earthquake caused rock structure to loosen, formed deep ravines, and

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activated debris flows initiation. The debris flows is likely to occur when gravelly soil is dynamically triggered with a certain degree of saturation. This possibility is explored in the paper through geotechnical tests.

There have been extensive researches on the landslides and rainfall-induced debris flows. However, very few studies have been conducted on earthquake-induced debris flows, especially on dynamic initiation mechanism of debris flows during the humidification process. Most previous researches focused on the initiated mechanism of rainfall-induced debris flows (Takahashi 1978; Anderson and Sitar 1995; Iverson 1997ab; Zhu and Anderson 1998; Major and Iverson 1999; Hu and Wang 2001; Takahashi 2007; Tang and Zhu 2009; Iverson 2010; McCoy et al. 2012; Wang and Zhang 2013; Kean et al. 2013). During the rainfall-induced initiation of debris flows, soil suction reduction causes less effective normal stress on the potential failure surface, which reduces the soil shear strength and trigger debris flows initiation. Significant loss of attraction from the soil matrix due to rainfall infiltration was considered to be the main factor contributing to rainfall-induced debris flows initiation (Chen and Lee 2000; Chen et al. 2004; Chen and Zhang 2006; Chen et al. 2010). Rainfall has been considered as a pre-condition of debris flows initiation, and the key contribution to landslide evolving into debris flows (Fleming et al. 1989; Hu et al. 2001; Wang and Sassa 2003; Wena and Aydinb 2005; David et al. 2009; Li et al. 2010). Steepness of the slope, particle size distribution and deposit thickness have been studied as the important triggering factors for landslide evolving into debris flows (Cui 1992, 1993; Gregoretti 2000a; Gregoretti 2000b; Chen et al. 2002; Tang and Liang 2008; Gregoretti and Dalla Fontana 2008; Wang et al. 2013). In recent years, the earthquakes take place frequently all over the world, and the debris flow initiation after earthquakes and earthquake-induced mountain disaster have been more concerned. (Cui et al. 2008; Tang and Liang 2008; Cui et al. 2009; Tang et al. 2009; Cui et al. 2010; Zhuang et al. 2010; Zhuang 2011; Zhu 2011; Wu et al. 2012; Wang et al. 2013; Cui et al. 2013). In addition, some researches have been explored on soil dynamic shear strength, and soil liquefaction has been determined as a factor to initiate debris flows during the earthquake. (Seed and Idriss 1971; Sassa 1984; Sladen et al. 1985; Dai

et al. 1991, 1999; Sassa and Wang 2003; Luna et al. 2012). These previous researches indicate that debris flows initiation during the earthquake is caused by shear strength reduction of soil particles. The interlocking between soil particles loosens during the earthquake, and the compacted soil is relieved.

Li et al. (2010) and Zhu (2011) studied on debris flows translated from landslide in the rainfall through numerical simulation. The effects of slope gradient, rainfall intensity and degree of saturation of soil were analyzed. The results indicate that the pore water pressure was the highest at the slope toe, and decreased from the toe to the shoulder. The large horizontal displacements were mainly located close to the toe of slope. Therefore, with the increase of slope gradient, rainfall intensity and the degree of saturation, the stability of slope decreased gradually and activated the debris flows initiation. Li et al. (2013) studied the initiating process of slide slope evolving into debris flows through numerical simulation when soil particles were in the state of humidifying. When soil particles dropped on the inclined slope surface due to gravity, a stable slope was ultimately formed. The critical moisture content was suggested, and when the moisture content was higher than the critical state, the top soil along the slope began to slide down. When the moisture content increased up to fully saturated condition, the top soil moved quickly and the slope acted as fluid slide.

In this study, soils are sampled from the debris flows sources area after Wenchuan earthquake. Based on the previous researches (Li et al. 2010, 2013; Zhu 2011), the dynamic initiation mechanism of debris flows in the humidification process is explored through static and dynamic shear strength experiments. Rainfall is considered as a pre-condition of debris flows, and saturated terrain is a necessity to activate debris flows initiation. The earthquake provides dynamic excitation power to accelerate debris flows initiation. This is a geotechnical experimental simulation for soils in consideration of the effect of earthquake and rainfall. The rules of soil strength attenuation are summarized, and the dynamic initiation mechanism of debris flows is studied. A critical degree of saturation of soil is suggested, and debris flows will be initiated above this degree. The

resistance to the debris flows initiation is analyzed between friction angle and cohesion of soils. All these conclusions provide an experimental basis to explore the dynamic initiation mechanism of debris flows.

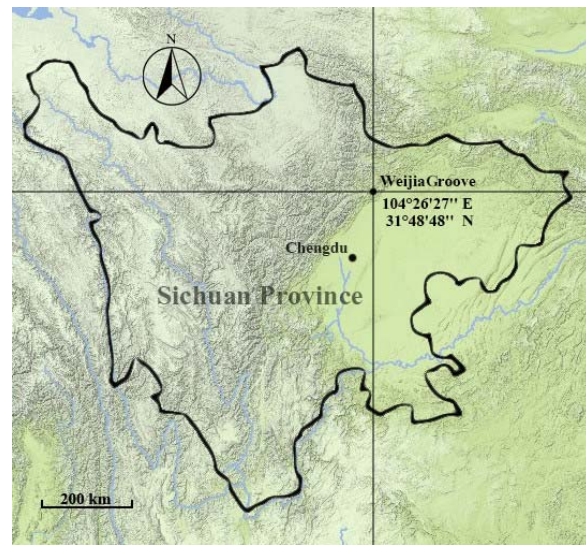
## 1 Materials

### 1.1 Field-collected soils

Wenchuan earthquake took place on May 12, 2008 in the Beichuan County, Sichuan Province, China. The field soils were collected from loose particles in Weijia Groove which is debris flow source area after Wenchuan earthquake. Weijia Groove is located in Renjiaping village, Beichuan County, southwest of Sichuan province, China and it is shown in Figure 1. The geographic coordinates is 104°26'27" E and 31°48'48" N, adjacent to the Jianjiang River. The Groove has steep terrain and erosive tectonic geomorphology. In this humid subtropical climate environment, the average 30-year rainfall is 1.4 m per year. The field collected soils have a wide and continuous gradation, and its grain size distribution is shown in Table 1.

### 1.2 Laboratory-prepared soil samples

Due to the limited amount of field-collected soils, three types of different soil samples were prepared in the laboratory test based on different grain compositions. The aim is to study the influence of grain size distribution on the dynamic initiation mechanism. Taking the grain size distribution of field-collected soil as a reference, the samples were prepared with the same unit



**Figure 1** Geographical location of the Weijia Groove, Sichuan Province, China.

weight and similar grain size distribution of field collected soils. In the process of preparation, the large particles with diameter over 5 mm were removed from field-collected soils and replaced with particles in which grain size ranges from 2 mm to 4 mm, to meet the requirements of laboratory experiment. It is named as sample A, and its grain size distribution is shown in Table 1. The coarse particles with grain size over 2 mm accounts for more than 50% in both sample A and field-collected soils, while the content of fine particles with grain size less than 0.1 mm are the same. Further, sample B and sample C were prepared representing different grain compositions in the laboratory preparation. Sample B was prepared with fine particles over 80% by weight, and sample C was prepared with coarse particles over 70% by weight in which grain size is greater than 2 mm. So the grain composition of fine and

**Table 1** Grain size distribution of field-collected soil and laboratory-prepared soil samples (Unit for grain size: mm )

Field-collected soil		Laboratory-prepared soil samples					
		Sample A		Sample B		Sample C	
Grain size	%	Grain size	%	Grain size	%	Grain size	%
200~20	24.1	5~2	100	5~2	100	5~2	100
20~5	33.9	2~1	49.93	2~1	97.9	2~1	25.4
5~2	11.4	1~0.5	39.02	1~0.5	94.5	1~0.5	14.0
2~1	3.1	0.5~0.25	30.62	0.5~0.25	89.3	0.5~0.25	5.25
1~0.5	6.1	0.25~0.1	23.07	0.25~0.1	85.8	0.25~0.1	3.5
0.5~0.25	2.5	0.1~0.05	16.78	0.1~0.075	83.8	0.1~0.075	1.75
0.25~0.1	2.3	0.05~0.025	12.58	0.075~0.05	83.2	0.075~0.05	0.87
0.1~0.05	4.0	0.025~0.01	10.48	0.05~0.02	82.3	<0.05	0.1
0.05~0.005	6.9	0.01~0.005	7.97	0.02~0.01	81.4		
<0.005	5.7	<0.005	—	0.01~0.005	80.1		

coarse particles is the main difference of three type samples. The dry unit weight and saturated moisture of three type samples are measured and listed in Table 2 according to Professional Standard of the People’s Republic of China (SL237-1999). According to Unified Soil Classification System (USCS) (ASTM D2487-11), they are classified as silty gravel (GM), silty fine sand (ML) and well-graded gravels (GW) for sample A, sample B and sample C, respectively.

## 2 Experimental Methods

### 2.1 Experimental instruments and sample preparation

The testing system “GCTS\_STX\_100” was used to conduct the static shear strength and dynamic shear strength tests in this study (Geotechnical Consulting and Testing Systems, STX\_100 electro-hydraulic servo control bidirectional dynamic triaxial test system manual). The soil specimens with 70 mm in diameter and 140 mm in height were prepared. The amount of water was pre-measured and mixed with dry soil by proportionate weight to produce a homogeneous wet soil. The samples were compacted by layers within 70 mm diameter compaction molds. The effective consolidation pressure was 50 kPa continuously for 24 hours to simulate consolidation pressure of soil on the slope surface. For saturated soil samples, saturated soil condition was achieved through applying back pressure on samples. The samples were considered saturated when the pore pressure coefficient B (a relationship between the increment of pore water pressure and the increment

of soil pressure) is more than 0.95.

### 2.2 Experimental procedure

As shown in Table 3, there are two types of shear strength tests such as static and dynamic tests. The static and dynamic shear strength tests were conducted in the consolidated-undrained stress state (CU). Different moisture contents, different grain compositions and different static/cyclic stress are considered in the each test. The same displacement failure standard is adopted in the static and the dynamic tests, which indicates that the soil sample meets the displacement failure standard and the test is stopped when the axial strain reaches 5%.

Firstly, soil samples with different moisture contents were consolidated, and then tested with static loading. The static shear strength of soil samples with different moisture contents can be determined. Mohr’s circles are drawn in shear strength curves with major principle stress ( $\sigma_1$ ) and minor principle stress ( $\sigma_3$ ). Cohesion ( $c_s$ ) and inner

**Table 2** Soil mechanics properties of three types of soil samples

Samples	Grain size distribution (%)		Dry unit weight (kN/m <sup>3</sup> )	Saturated moisture (%)	Classified
	Coarse particles (>2 mm)	Fine particles (<0.05 mm)			
Sample A	50.1	12.6	18.6	15.0	GM
Sample B	1.9	82.3	15.9	24.7	ML
Sample C	74.6	0.1	16.8	19.6	GW

**Notes:** (1) Saturated moisture is measured according to “Professional Standard of the People’s Republic of China (SL237-1999), Specifications of Soil Test”; (2) The samples are classified according to the Unified soil classification system (USCS) (ASTM D2487-11)”.

**Table 3** Experimental procedure and details

Samples	MC	SD	Experimental procedure	Nos.
Sample A	7	47	consolidation → static shear test	3
			consolidation → dynamic shear test	9
	9	60	consolidation → static shear test	3
			consolidation → dynamic shear test	9
	11	73	consolidation → static shear test	3
			consolidation → dynamic shear test	9
	13	87	consolidation → static shear test	3
			consolidation → dynamic shear test	9
	15	100	saturation → consolidation → static shear test	3
			saturation → consolidation → dynamic shear test	9
Sample B	24.7	100	saturation → consolidation → static shear test	3
			saturation → consolidation → dynamic shear test	9
Sample C	19.6	100	saturation → consolidation → static shear test	3
			saturation → consolidation → dynamic shear test	9

**Notes:** MC = Moisture content (%); SD = Degree of saturation (%).

friction angle ( $\phi_s$ ) can be calculated through shear strength curves. At least three soil samples are required in the static shear strength testing corresponding to three different confining pressures. Secondly, the dynamic shear strength test was performed on soil samples with certain moisture contents which the same as that in the static shear strength test to simulate different dynamic loading initiated by earthquake following the rainfall. The humidification process induced by rainfall was simulated using the different degrees of saturation of samples. The moisture content increased gradually from unsaturation to saturation. Soil sample A was prepared with five different moisture contents, i.e., 7%, 9%, 11%, 13%, and 15%. As shown in Table 3, when the moisture content of soil is 15%, the degree of saturation of sample A is 100%. And the degrees of saturation of sample A are 47%, 60%, 73% and 87% for according moisture contents of soil 7%, 9%, 11% and 13%. Soil sample B and sample C were prepared with fully saturated moisture 24.7% and 19.6%, respectively.

The dynamic initiation process induced by earthquake was simulated using the different dynamic shear stress. The dynamic shear strength is defined as dynamic stress at the failure under the certain number of cycles (Seed and Idriss 1971). In the dynamic testing, 1 Hz sine-wave cyclic loading was acted on the samples to simulate the earthquake along axial direction, where different numbers of cycles represent different earthquake magnitudes. At the failure, the certain numbers of cycles ( $N_f$ ) as 8, 12, 20 and 30 are recorded to correspond to different earthquake magnitudes of 6.5, 7.0, 7.5 and 8.0. When  $N_f$  reaches 50, it represents a higher earthquake magnitude (Seed and Idriss 1971).

In the dynamic shear strength test, firstly, the ratio of consolidation stress is determined through Eq. (1). When  $k_c$  equals to 1.0, it is an isotropic consolidation. Otherwise, it is an anisotropic consolidation. In this study, the isotropic consolidation is conducted under 50 kPa consolidation pressures. Secondly, the number of cycles is determined under the different dynamic stress with certain confining pressures. When the axial strain reaches 5% in this process, the samples meet the displacement failure standard and the test is stopped. At least three different dynamic stresses

( $\sigma_{d1}$ ,  $\sigma_{d2}$ ,  $\sigma_{d3}$ ) are conducted under a certain confining pressure, and three different numbers of cycles can be recorded. Thirdly, the above testing procedure are repeated, dynamic stress testing are conducted under three different confining pressures ( $\sigma_{3c1}$ ,  $\sigma_{3c2}$ ,  $\sigma_{3c3}$ ). The relationship between number of cycles and dynamic stress is analyzed with three different confining pressures, and dynamic stress at the failure ( $\sigma_{d1f}$ ,  $\sigma_{d2f}$ ,  $\sigma_{d3f}$ ) can be determined with the certain number of cycles ( $N_f$ ) corresponding to three different confining pressures. So, the minimum amount of samples required in dynamic shear strength testing is nine. Finally, the axial stress ( $\sigma_{1c1}$ ,  $\sigma_{1c2}$ ,  $\sigma_{1c3}$ ) can be calculated through Eq. (2-4) corresponding to different confining pressures ( $\sigma_{3c1}$ ,  $\sigma_{3c2}$ ,  $\sigma_{3c3}$ ) with the certain number of cycles ( $N_f$ ). Mohr's circles are drawn in shear strength curves with major and minor principal stress. Dynamic cohesive ( $c_d$ ) and dynamic friction angle ( $\phi_d$ ) can be obtained through shear strength curves.

$$k_c = \frac{\sigma_{1c}}{\sigma_{3c}} \tag{1}$$

$$\sigma_{1c1} = \sigma_{3c1} + \sigma_{d1f} \tag{2}$$

$$\sigma_{1c2} = \sigma_{3c2} + \sigma_{d2f} \tag{3}$$

$$\sigma_{1c3} = \sigma_{3c3} + \sigma_{d3f} \tag{4}$$

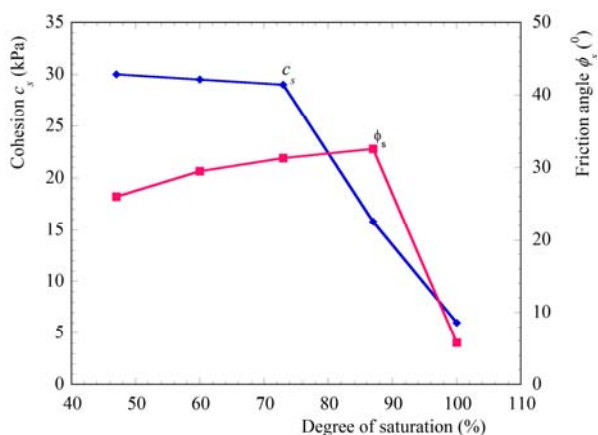
### 3 Results and Discussion

#### 3.1 Variation of static shear strength

The cohesion ( $c_s$ ) and friction angle ( $\phi_s$ ) of static shear strength were obtained through total stress analysis under different degrees of saturation. Test results are shown in Table 4. The relationship between cohesion /friction angle and degree of saturation for sample A is shown in Figure 2. Figure 2 indicates that when the degree of saturation increases from 47% to 73%, the change of cohesion is not obvious. The cohesion decreases rapidly with a further increase in degree of saturation. Meanwhile, when degree of saturation increases from 47% to 87%, there is a slight increase in friction angle. But the friction angle decreases sharply after the degree of saturation

reaches 87%. This indicates that it has a critical degree of saturation, i.e., approximately 87% in this study. The relation between cohesion /friction angle and degree of saturation is consistent with that of previous research results, although the value of critical degree of saturation is slightly different (Dai et al. 1999; Cheng et al. 2004; Zhuang 2011; Yang et al. 2011). At this critical degree of saturation, the grain interlocking was at the strongest condition, it will fall sharply after this critical degree of saturation. When sample A has a moisture content of 15%, which indicates that the degree of saturation is 100%, the cohesion and friction angle drop to the lowest level. At this time shear strength attenuates to its weakest level.

As a comparative analysis, the cohesion and friction angle of shear strength of saturated sample B and sample C were tested and listed in Table 4. Sample A, sample B and sample C, they are all in saturated state, but have different grain size distributions. Under saturated state, the shear strength of saturated sample A is the highest while sample B is the lowest. This may be explained by the fact that loose soil with majority of fine particles is easier to trigger debris flows in the rainfall (Zhuang 2011). Whereas, gravelly soil with majority of coarse particles presents higher grain interlocking in the



**Figure 2** Cohesion  $c_s$  and inner friction angle  $\phi_s$  various with degree of saturation for sample A.

**Table 4** Static shear strength (cohesion/inner friction angle) at different degree of saturation

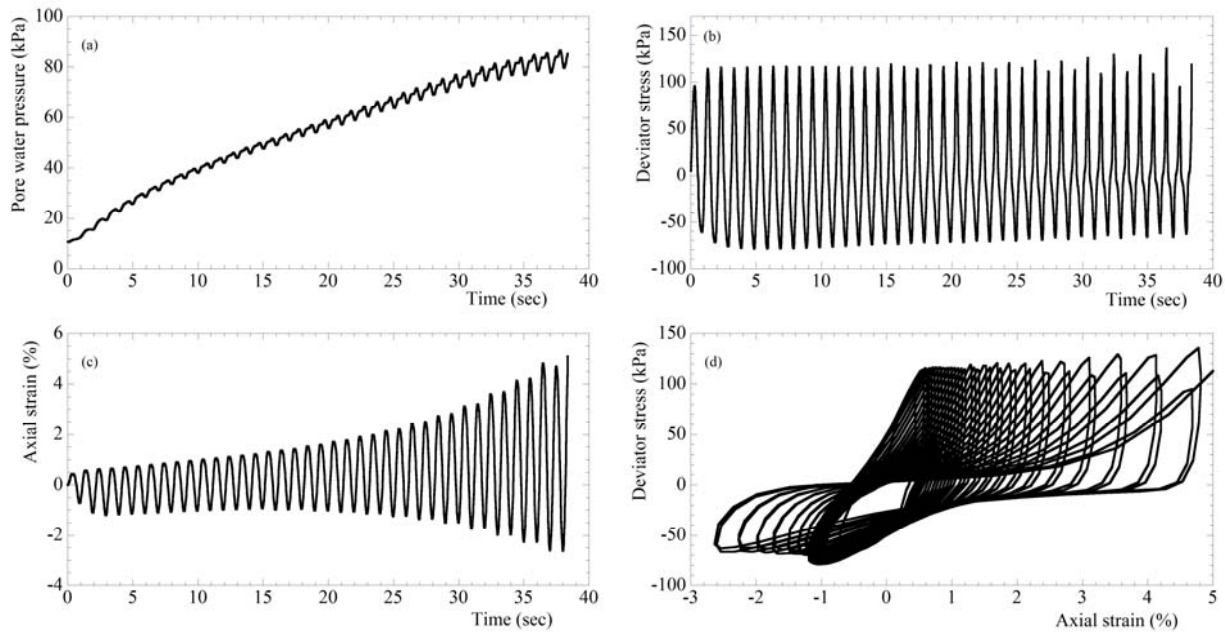
Test samples	MC	SD	PSD	Static shear strength	
				$c_s$ (kPa)	$\phi_s$ ( $^\circ$ )
Sample A	7	47	250 (100)	30.0	26.0
			412 (200)		
			562 (300)		
	9	60	320 (100)	29.0	30.8
			507 (200)		
			738 (300)		
	11	73	326 (100)	29.0	31.3
			523 (200)		
			758 (300)		
	13	87	281 (100)	15.0	32.6
			532 (200)		
			747 (300)		
15	100	51 (100)	13.0	5.8	
		77(200)			
		96 (300)			
Sample B	24.7	100	27 (100)	6.0	3.3
			32 (200)		
			52 (300)		
Sample C	19.6	100	36 (100)	6.0	5.6
			57 (200)		
			80 (300)		

**Notes:** (1) The third column is listed the principal stress difference at the certain confining pressure, the number in parenthesis represents the value of confining pressure. (2)When the axial strain reaches 5%, the sample meets the displacement failure standard and the test is stopped. The principal stress differences are recorded. (3) MC = Moisture content (%); SD = Degree of saturation (%); PSD = Principal stress deviator (kPa).

rainfall. Especially, gravelly soil with a wide and continuous gradation provides higher strength, i.e., saturated sample A has better stability than saturated sample C in the rainfall.

### 3.2 Variation of dynamic shear strength

The dynamic characteristics are obtained when sample A is loaded with confining pressure ( $\sigma_{3c}$ ) of 100 kPa, and cyclic stress amplitude ( $\sigma_d$ ) of 98 kPa at the moisture content of 11% (that is, degree of saturation is 73%). The variation of pore water pressure vs. time, deviator stress vs. time, axial strain vs. time and deviator stress vs. axial strain (hysteresis loops) is shown in Figure 3 (a)-(d), respectively. As shown in these figures, the initial dynamic pore water pressure increases gradually with time, there is a slight change in axial strain, and hysteresis loops are crowded together. Then there is a sharp increase in pore water pressure with time. The axial strain increases drastically up to the displacement failure standard. The hysteresis loops become sparser and their



**Figure 3** Dynamic characteristics on sample A with moisture content of 11% (degree of saturation is 73%) under confining pressure of 100 kPa and cyclic stress amplitude of 98 kPa: (a) pore water pressure, (b) deviator stress, (c) axial strain, and (d) hysteresis loops.

centers continue to move towards one direction until the sample reaches the failure point because of large displacement. During this process, the deviator stress is held constant.

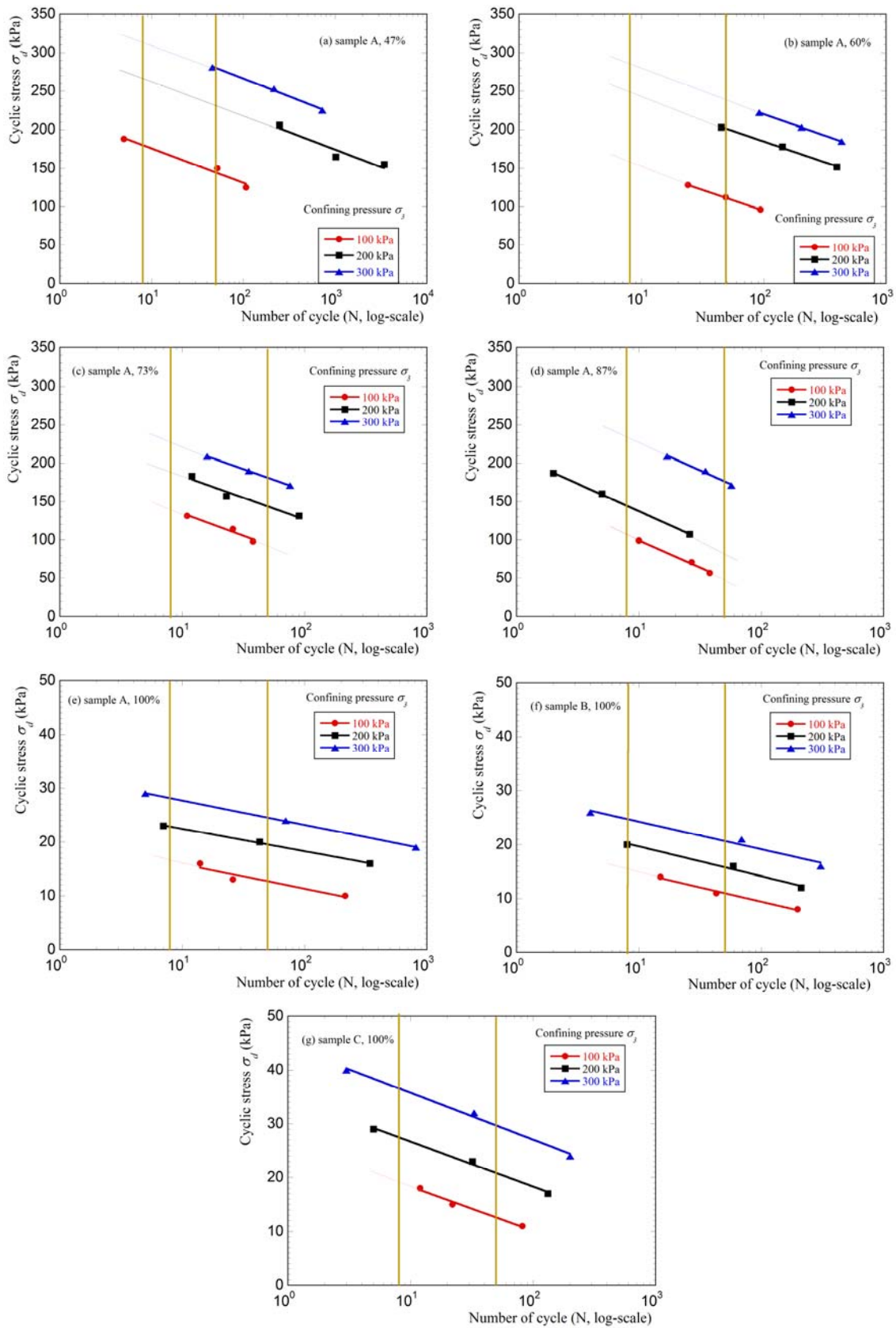
In the dynamic shear strength test, three same samples were prepared. After consolidation under an effective pressure of 50 kPa, three unequal cyclic stresses ( $\sigma_{d1}$ ,  $\sigma_{d2}$ ,  $\sigma_{d3}$ ) were applied on these samples under certain confining pressure. The number of cycles up to failure ( $N_f$ ) could be determined when axial strain reaches displacement failure standard. The relationship between cyclic stress and number of cycles was summarized under three confining pressure of 100 kPa, 200 kPa and 300 kPa. The dynamic strength curves are shown in Figure 4 with different degree of saturation (e.g., (a)-(d)) and different grain size distribution (e.g., (e)-(g)). Then, dynamic shear strength was determined at different earthquake magnitudes through dynamic strength curves. When the confining pressure ( $\sigma_{3c}$ ) was 100 kPa, 200kPa, or 300kPa, the cyclic stress ( $\sigma_d$ ) was calculated under certain number of cycles  $N$  (=8, 12, 20, 30, 50) through dynamic strength curves. In Figure 4, the range of extrapolation for specific values of  $N$  (=8, 12, 20, 30, 50) was marked using yellow lines. And test curves have been processed through technique

of extrapolation. The dotted lines on these curves are drawn to obtain the cyclic stress ( $\sigma_d$ ) at specific values of  $N$  (=8, 12, 20, 30, 50). If the confining pressure ( $\sigma_{3c}$ ) was not shown in Figure 4, the cyclic stress ( $\sigma_d$ ) was obtained through interpolation method from the dynamic strength curves.

The dynamic shear strength, cohesion ( $c_d$ ) and friction angle ( $\phi_d$ ) are analyzed with different degree of saturation for these three types of samples and shown in Table 5. Comparing Table 5 with Table 4, the dynamic shear strength ( $c_d$  and  $\phi_d$ ) of samples are less than its corresponding static shear strength ( $c_s$  and  $\phi_s$ ) because the dynamic loading destroys the soil structure causing shear strength to decrease. The degree of attenuation varies with degree of saturation, grain size distribution and earthquake magnitudes.

### 3.3 Dynamic shear strength attenuation mechanism

The relationship between dynamic shear strength ( $c_d$  and  $\phi_d$ ) and degree of saturation, number of cycles and grain size distribution is summarized and shown in Figures 5, 6 and 7. As shown in Figure 5 (a) and Figure 5 (b), the influence of degree of saturation on the cohesion



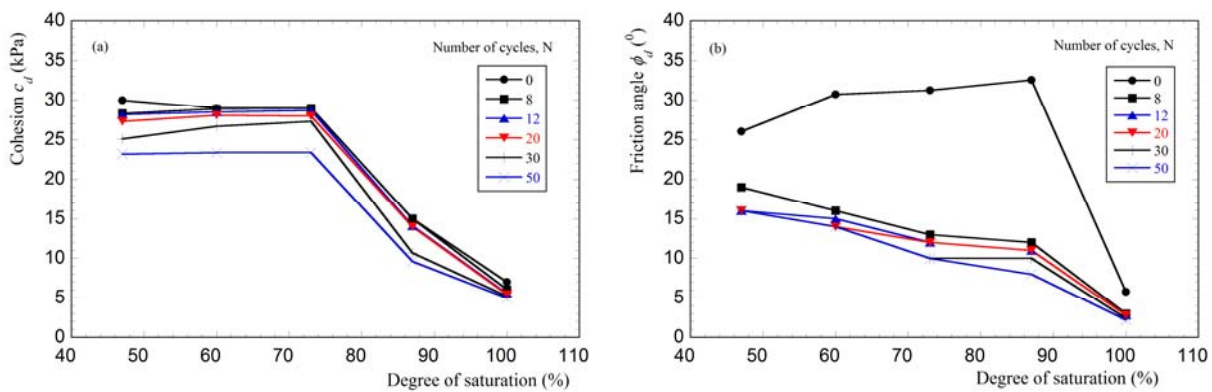
**Figure 4** Dynamic strength curves: (a) sample A, 47%; (b) sample A, 60%; (c) sample A, 73%; (d) sample A, 87%; (e) sample A, 100%; (f) sample B, 100% and (g) sample C, 100%.



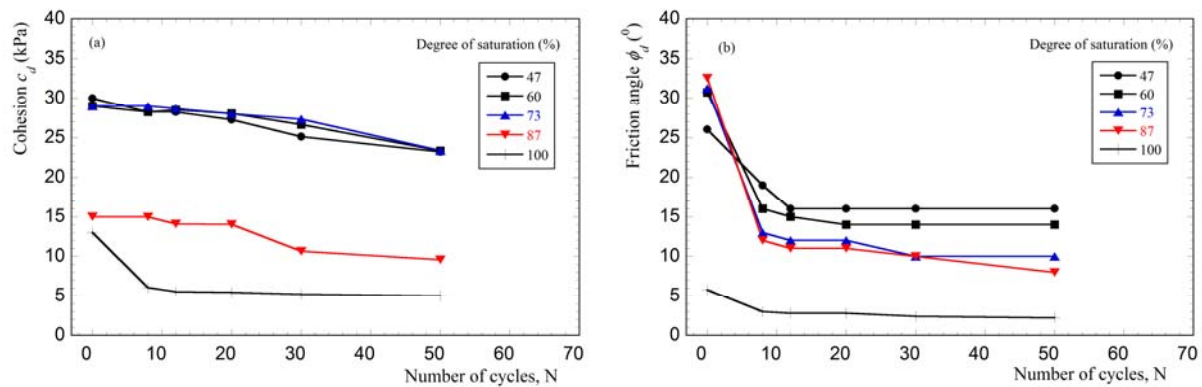
**Table 5** Dynamic shear strength (cohesion and inner friction angle) at different degree of saturation

Nos. of cycles	Shear strength	SD (MC)						
		Sample A					Sample B	Sample C
		47 (7)	60 (9)	73 (11)	87 (13)	100(15)	100 (24.7)	100 (19.6)
8	$c_d$ (kPa)	28.3	28.9	29.0	15.0	6.07	5.3	4.2
	$\phi_d$ ( $^\circ$ )	19.0	16.0	13.0	12.0	3.00	2.2	5.0
12	$c_d$ (kPa)	28.2	28.5	28.7	14.1	5.55	5.0	4.0
	$\phi_d$ ( $^\circ$ )	16.0	15.0	12.0	11.0	2.80	2.1	4.6
20	$c_d$ (kPa)	27.3	28.1	28.0	14.0	5.45	4.6	3.3
	$\phi_d$ ( $^\circ$ )	16.0	14.0	12.0	11.0	2.80	1.9	4.0
30	$c_d$ (kPa)	25.1	26.7	27.3	10.7	5.24	4.3	3.1
	$\phi_d$ ( $^\circ$ )	16.0	14.0	10.0	10.0	2.40	1.3	3.7
50	$c_d$ (kPa)	23.2	23.4	23.4	9.6	5.00	4.0	3.0
	$\phi_d$ ( $^\circ$ )	16.0	14.0	10.0	8.0	2.20	1.1	3.2

**Notes:** (1) The dynamic shear strength is obtained through total stress analysis; (2) MC= Moisture content (%); SD= Degree of saturation (%); (3) dynamic shear strength, cohesion  $c_d$  and inner friction angle  $\phi_d$ .



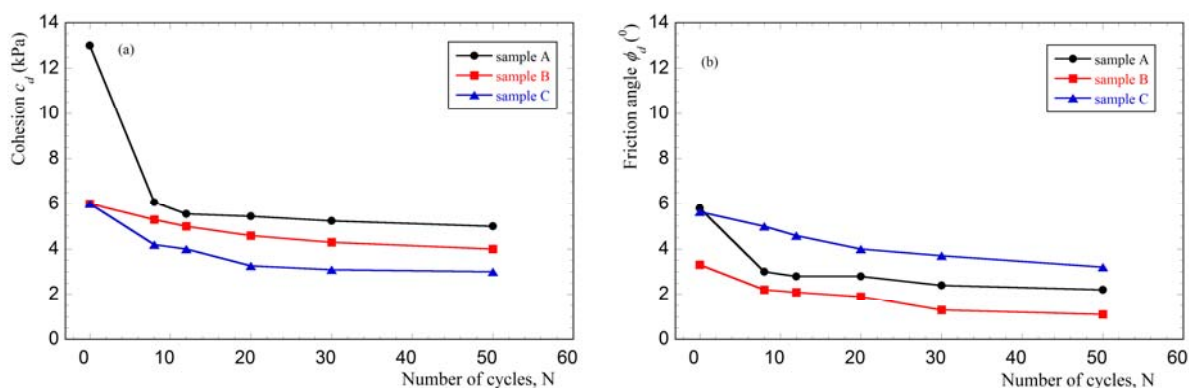
**Figure 5** The influence of degree of saturation on the dynamic shear strength of sample A: (a) cohesion  $c_d$  and (b) inner friction angle  $\phi_d$ .



**Figure 6** The influence of number of cycles N on the dynamic shear strength of sample A: (a) cohesion  $c_d$  and (b) inner friction angle  $\phi_d$ .

and friction angle of sample A is analyzed which differs significantly from that of static shear strength (as shown in Figure 2). The dynamic shear strength of sample A is less than its corresponding static shear strength, and the dynamic friction angle ( $\phi_d$ ) declines more than dynamic cohesion ( $c_d$ ) under dynamic loading. Therefore, the resistance

to debris flows initiation more depends on inner friction angle rather than on cohesion of soil under the effect of earthquake and rainfall. The declining tendency of dynamic cohesion ( $c_d$ ) is the same as friction angle ( $\phi_d$ ) with increase of number of cycles. The dynamic shear strength changes slightly when degree of saturation is less than 73%, but then it



**Figure 7** The influence of grain size distribution on the dynamic shear strength: (a) cohesion  $c_d$  and (b) inner friction angle  $\phi_d$ .

quickly decreases with increase of degree of saturation. A speculation is proposed that critical degree of saturation is about 73% under the effect of earthquake and rainfall.

While dynamic friction angle ( $\phi_d$ ) keeps decreasing gradually with increase of degree of saturation, it is different from relationship between static friction angle ( $\phi_s$ ) and degree of saturation. It shows that degree of saturation is a critical parameter for to debris flows initiation. For a soil with high degree of saturation, a slight change in moisture content can cause a considerable decrease in shear strength. But for relatively dry soil, its stability is relatively high even under strong earthquake with high number of cycles. It is found that it is difficult for debris flows to be activated in area because degree of saturation for debris flows initiation is not reached. Liquefaction occurs for soil with high degree of saturation and under strong earthquake, which is easy to activate debris flows.

Figure 6 shows dynamic shear strength ( $c_d$  and  $\phi_d$ ) of sample A under the influence of earthquake magnitudes. As shown in Figure 6 (a) and Figure 6 (b), the cohesion and friction angle decrease with increase in earthquake magnitude. But their change mechanisms are different. For soil with low degree of saturation, the dynamic cohesion ( $c_d$ ) decreases gently while for the soil with high degree of saturation, it decreases significantly. From Figure 6 (a) and Figure 6 (b), the high degree of saturation is about 73%. The dynamic shear strength ( $c_d$  and  $\phi_d$ ) decreases sharply with increase of number of cycles when degree of saturation is more than 73%. So 73% is considered to be a critical value. When the number of cycles ( $N_f$ ) is

more than 8 (its corresponding earthquake magnitude is greater than M6.5), the dynamic shear strength ( $c_d$  and  $\phi_d$ ) of sample A decreases dramatically. It is indicated that debris flows initiation is not dependent on the improvement of earthquake magnitude. The grain size distribution plays an important role in triggering debris flows. Figure 7 shows the relationship between dynamic shear strength ( $c_d$  and  $\phi_d$ ) of three saturated samples and the number of cycles. As shown in Figure 7 (a) and Figure 7 (b), the dynamic cohesion ( $c_d$ ) and dynamic friction angles ( $\phi_d$ ) decrease gradually with increase in the number of cycles for three saturated samples with different grain size distributions. The dynamic cohesion of sample A is higher than that of sample B and sample C under the same number of cycles. The dynamic friction angles of sample C and sample A are higher than that of sample B under the same number of cycles. Therefore, sample B is more likely to activate debris flows than sample A and sample C when they are in completely saturated state under the same earthquake magnitude.

#### 4 Conclusions

Through a series of geotechnical tests, the soil shear strength reduction induced debris flows initiation was investigated under the effect of earthquake and rainfall in this study. The relationship between soil shear strength, degree of saturation, number of cycles and grain size distribution was analyzed. Based on a series of test results, the following conclusions were obtained:

- (1) The results of laboratory tests show that

dynamic shear strength of soil is less than its corresponding static shear strength under the effect of earthquake with the same degree of saturation of soils. The strength attenuation varies with degree of saturation, grain size distribution and earthquake magnitudes. Resistance to debris flows initiation more depends on grain interlocking than cohesion of soil under dynamic loading. This possibility is explored through a series of geotechnical tests that debris flows under dynamic loading is more likely to be triggered than under static loading.

(2) Shear strength of slope surface soil decreases gradually with increase of degree of saturation. Debris flows initiation is developed during this humidification process. The earthquake provides energy for debris flows triggering. The geotechnical test results indicate that gravelly soil with a wide and continuous gradation has a critical degree of saturation of approximately 87%, above which debris flows will be initiated. Under the effect of earthquake ( $M > 6.5$ ) and rainfall, the critical degree of saturation is about 73%.

(3) The grain size distribution also plays an important role in triggering debris flows. It may be explained by the fact that loose soil with majority of fine particles is easier to trigger debris flows.

Of course, there are limitations in this study. Three types of different soil samples are prepared based on the grain size distribution of gravelly soils from Weijia Groove debris flows source area. These

soil samples can represent characteristics of typical grain size distribution in debris flows source area but it may not cover the entire characteristics of soils from debris flows source area. So a deviation may still exist between laboratory-prepared soil samples and field-collected soil. A particular attention on field-collected soil will be given to study further. The experimental results in this paper only explore a possibility for debris flows initiation under the effect of earthquake and rainfall based on geotechnical tests. The experimental results provide a comparative analysis for dynamic initiation mechanisms of debris flows in the humidification process for gravelly soil.

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